EvoDynamic: a framework for the evolution of generally represented dynamical systems and its application to self-organized criticality

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EvoDynamic: a framework for the evolution of generally represented dynamical systems and its application to self-organized criticality

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Abstract—Dynamical systems possess a computational capacity which may be exploited in a reservoir computing paradigm. This paper presents a general representation of dynamical systems as an artificial neural network (ANN). Initially, we implement the simplest dynamical system, a cellular automaton. The mathematical fundamentals behind an ANN are maintained, but the weights of the connections and the activation function are adjusted to work as an update rule in the context of cellular automata. The advantages of such implementation are its usage on specialized and optimized deep learning libraries, the capabilities to generalize it to other types of networks and the possibility to evolve cellular automata and other dynamical systems in terms of connectivity, update and learning rules. Our implementation of cellular automata constitutes an initial step towards a more general framework for dynamical systems. Our objective is to evolve such systems with the goal of optimizing their usage in reservoir computing and to model physical computing substrates. Furthermore, we present encouraging preliminary results toward the evolution of complex behavior and self-organized criticality in stochastic elementary cellular automata.

Index Terms—Cellular automata, dynamical systems, implementation, reservoir computing, evolution, self-organized criticality

I. INTRODUCTION

A cellular automaton (CA) is the simplest computing system where the emergence of complex dynamics from local interactions might take place. It consists of a grid of cells with a finite number of states that change according to simple rules depending on the neighborhood and own state in discrete time-steps. Some notable examples are the elementary CA [1], which is unidimensional with three neighbors and eight update rules, and Conway’s Game of Life [2], which is two-dimensional with nine neighbors and three update rules.

Table I presents some computing systems that are capable of giving rise to the emergence of complex dynamics. Those systems can be exploited by reservoir computing, which is a paradigm that resorts to dynamical systems to simplify complex data. Hence, simpler and faster machine learning methods can be applied with such simplified data. Reservoir computing is more energy efficient than deep learning methods and it can even yield competitive results, especially for temporal data [3]. In other words, reservoir computing exploits a dynamical system that possesses the echo state property and fading memory, where the internals of the reservoir are untrained and the only training happens at the linear readout stage [4]. Reservoir computers are most useful when the substrate’s dynamics are at the “edge of chaos”, meaning a range of dynamical behaviors that is between order and disorder [5]. Cellular automata with such dynamical behavior are capable of being exploited as reservoirs [6], [7]. Other systems can also exhibit similar dynamics. The coupled map lattice [8] is very similar to CA, the only exception is that the coupled map lattice has continuous states which are updated by a recurrence equation involving the neighborhood. Random Boolean network [9] is a generalization of CA where random connectivity exists. Echo state network [10] is an artificial neural network (ANN) with random topology while liquid state machine [11] is similar to echo state network with the difference that it is a spiking neural network that communicates through discrete-events (spikes) over continuous time.

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One important aspect of the computation performed in a dynamical system is the trajectory of system states traversed during the computation [12]. Such trajectory may be guided by system parameters [13]. Another characteristic of a dynamical system which is significant for computation is to have its attractor in a critical state, as indicated by Langton [5]. This characteristic of a dynamical system is called self-organized criticality [14].

<table>
<thead>
<tr>
<th>Dynamical system</th>
<th>State</th>
<th>Time</th>
<th>Connectivity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cellular automata</td>
<td>Discrete</td>
<td>Discrete</td>
<td>Regular</td>
</tr>
<tr>
<td>Coupled map lattice</td>
<td>Continuous</td>
<td>Discrete</td>
<td>Regular</td>
</tr>
<tr>
<td>Random Boolean network</td>
<td>Discrete</td>
<td>Discrete</td>
<td>Random</td>
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<tr>
<td>Echo state network</td>
<td>Continuous</td>
<td>Discrete</td>
<td>Random</td>
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<tr>
<td>Liquid state machine</td>
<td>Discrete</td>
<td>Continuous</td>
<td>Random</td>
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</table>
Besides, computation in dynamical systems may be carried out in physical substrates \[15\], such as networks of biological neurons \[16\] or in other nanoscale materials \[17\]. Finding the correct abstraction for the computation in a dynamical system, e.g. CA, is an open problem \[13\].

All the systems described in Table I are sparsely connected and can be represented by an adjacency matrix, such as a graph. A fully connected feedforward ANN represents its connectivity from a layer to another with an adjacency matrix that contains the weights of each connection. Our CA implementation is similar to this, but the connectivity goes from the “layer” of cells to itself.

The goal of representing CA with an adjacency matrix is to implement a framework which facilitates the development of all types of CAs, from unidimensional to multidimensional, with all kinds of lattices and without any boundary conditions during execution; and also allowing the inclusion of other major dynamical systems, independent of the type of the state, time and connectivity. Such initial implementation is the first component of a Python framework under development, based on TensorFlow deep neural network library \[19\]. Therefore, it benefits from powerful and parallel computing systems with multi-CPU and multi-GPU. This framework, called EvoDynamic,\[1\] aims at evolving the connectivity, update and learning rules of sparsely connected networks to improve their usage for reservoir computing guided by the echo state property, fading memory, state trajectory and other quality measurements, and to model the dynamics and behavior of physical reservoirs, such as \textit{in-vitro} biological neural networks interfaced with microelectrode arrays and nanomagnetic ensembles. Those two substrates have real applicability as reservoirs. For example, the former substrate is applied to control a robot, in fact making it into a cyborg, a closed-loop biological-artificial neurosystem \[16\], and the latter possesses computation capability as shown by a square lattice of nanomagnets \[20\]. Those substrates are the main interest of the SOCRATES project \[21\] which aims to explore a dynamic, robust and energy efficient hardware for data analysis.

There exist some implementations of CA similar to the one of EvoDynamic framework. They typically implement Conway’s Game of Life by applying 2D convolution with a kernel that is used to count the “alive” neighbors, then the resulting matrix consists of the number of “alive” neighboring cells and is used to update the CA. One such implementation, also based on TensorFlow, is available open-source in \[22\].

This paper is organized as follows. Section II describes our method according to which we use adjacency matrix to compute CA. Section III presents the results obtained from the method. Section IV discusses the initial advances and future plan of EvoDynamic framework and Section V concludes this paper.

\[1\]EvoDynamic v0.1 available at https://github.com/SocratesNFR/EvoDynamic

\section{Method}

In our proposed method, the equation to calculate the next states of the cells in a cellular automaton is

\[ c_{t+1} = f(A \cdot c_t). \]

It is similar to the equation of the forward pass of an artificial neural network, but without the bias. The layer is connected to itself, and the activation function \( f \) defines the update rules of the CA. The next states of the CA \( c_{t+1} \) is calculated from the result of the activation function \( f \) which receives as argument the dot product between the adjacency matrix \( A \) and the current states of the CA \( c_t \). \( c \) is always a column vector of size \( \text{len}(c) \times 1 \), that does not depend on how many dimensions the CA has, and \( A \) is a matrix of size \( \text{len}(c) \times \text{len}(c) \). Hence the result of \( A \cdot c \) is also a column vector of size \( \text{len}(c) \times 1 \)

The implementation of cellular automata as an artificial neural network requires the procedural generation of the adjacency matrix of the grid. In this way, any lattice type or multidimensional CAs can be implemented using the same approach. The adjacency matrix of a sparsely connected network contains many zeros because of the small number of connections. Since we implement it on TensorFlow, the data type of the adjacency matrix is preferably a \texttt{SparseTensor}. A dot product with this data type can be up to 9 times faster depending on the configuration of the tensors \[23\]. The update rule of the CA alters the weights of the connections in the adjacency matrix. In a CA whose cells have two states meaning “dead” (zero) or “alive” (one), the weights in the adjacency matrix are one for connection and zero for no connection, such as an ordinary adjacency matrix. Such matrix facilitates the description of the update rule for counting the number of “alive” neighbors because the result of the dot product between the adjacency matrix and the cell state vector is the vector that contains the number of “alive” neighbors for each cell. If the pattern of the neighborhood matters in the update rule, each cell has its neighbors encoded as a \( n \)-ary string where \( n \) means the number of states that a cell can have. In this case the weights of the connections with the neighbors are \( n \)-base identifiers and are calculated by

\[ \text{neighbor}_i = n^i, \forall i \in \{0..\text{len}(\text{neighbors}) - 1\}. \]

Where \texttt{neighbors} is a vector of the cell’s neighbors. In the adjacency matrix, each neighbor receives a weight according to \( (2) \). The result of the dot product with such adjacency matrix is a vector that consists of unique integers per neighborhood pattern. Thus, the activation function is a lookup table from integer (i.e., pattern) to next state.

Algorithm 1 generates the adjacency matrix for one-dimensional CA, such as the elementary CA. Where \texttt{widthCA} is the width or number of cells of a unidimensional CA and \texttt{neighborhood} is a vector which describes the region around the center cell. The connection weights depend on
the type of update rule as previously explained. For example, in case of an elementary CA neighborhood = [4 2 1]. indexNeighborCenter is the index of the center cell in the neighborhood whose starting index is zero. isWrappedGrid is a Boolean value that works as a flag for adding wrapped grid or not. A wrapped grid for one-dimensional CA means that the initial and final cells are neighbors. With all these parameters, Algorithm 1 creates an adjacency matrix by looping over the indices of the cells (from zero to numberOfCells – 1) with an inner loop for the indices of the neighbors. If the selected currentNeighbor is a non-zero value and its indices do not affect the boundary condition, then the value of currentNeighbor is assigned to the adjacency matrix A in the indices that correspond to the connection between the current cell in the outer loop and the actual index of currentNeighbor. Finally, this procedure returns the adjacency matrix A.

To procedurally generate an adjacency matrix for 2D CA instead of 1D CA, the algorithm needs to have small adjustments. Algorithm 2 shows that for two-dimensional CA, such as Conway’s Game of Life. In this case, the height of the CA is an argument passed as heightCA. Neighborhood is a 2D matrix and indexNeighborCenter is a vector of two components meaning the indices of the center of Neighborhood. This procedure is similar to the one in Algorithm 1 but it contains one more loop for the additional dimension.

The activation function for CA is different from the ones used for ANN. For CA, it contains the update rules that verify the vector returned by the dot product between the adjacency matrix and the vector of states. Normally, the update rules of the CA are implemented as a lookup table from neighborhood to next state. In our implementation, the lookup table maps the resulting vector of the dot product to the next state of the CA.

III. RESULTS

This section presents the results of the proposed method and it also stands for the preliminary results of the EvoDynamic framework.

Fig. 1 illustrates a wrapped elementary CA described in the procedure of Algorithm 1 and its generated adjacency matrix. Fig. 1a shows the appearance of the desired elementary CA with 16 cells (i.e., widthCA = 16). Fig. 1b describes its pattern 3-neighborhood and the indices of the cells. Fig 1c shows the result of the Algorithm 1 with the von Neumann neighborhood which is used for counting the number of "alive" neighbors (the connection weights are only zero and one, and Neighborhood argument of Algorithm 2 defines it). It also shows the index distribution of the CA whose order is preserved after flatting it to a column vector. Fig 2a contains the generated adjacency matrix of Algorithm 2 for the described 2D CA. Fig. 2b shows an example of a central cell with its neighbors, the index of this central cell is 5 and the same neighbor indices, i.e., 1, 4, 6 and 9. Since this is a symmetric matrix, the columns have the same connectivity of the rows. Therefore, this adjacency matrix represents an undirected graph. The wrapping effect is also observable. For example, the neighbors of the cell index 0 are 1, 3, 4 and 12. So the neighbors 3 and 12 are the ones that the wrapped grid allowed to exist for cell index 0.

Fig. 2 describes a wrapped 2D CA for Algorithm 2 and shows the resulting adjacency matrix. Fig. 2a illustrates the desired two-dimensional CA with 16 cells (i.e., widthCA = 4 and heightCA = 4). Fig. 2b presents the von Neumann neighborhood which is used for counting the number of "alive" neighbors (the connection weights are only zero and one, and Neighborhood argument of Algorithm 2 defines it). It also shows the index distribution of the CA whose order is preserved after flatting it to a column vector. Fig 2c contains the generated adjacency matrix of Algorithm 2 for the described 2D CA. Fig. 2b shows an example of a central cell with its neighbors, the index of this central cell is 5 and the row index 5 in the adjacency matrix of Fig. 2c presents the same neighbor indices, i.e., 1, 4, 6 and 9. Since this is a symmetric matrix, the columns have the same connectivity of the rows. Therefore, this adjacency matrix represents an undirected graph. The wrapping effect is also observable. For example, the neighbors of the cell index 0 are 1, 3, 4 and 12. So the neighbors 3 and 12 are the ones that the wrapped grid allowed to exist for cell index 0.

IV. EvoDynamic future

The method of implementing a CA as an artificial neural network is beneficial for the further development of EvoDynamic framework. Since the implementation of all sparsely connected networks in Table I is already planned in forthcoming releases of the Python framework, EvoDynamic shall
Algorithm 1 Generation of adjacency matrix for 1D cellular automaton

1: procedure GENERATE_CA1D(widthCA, neighborhood, indexNeighborCenter, isWrappedGrid)
2:     numberOfCells ← widthCA
3:     A ← 0^{numberOfCells×numberOfCells} \triangleright Adjacency matrix initialization
4:     for i ← \{0..numberOfCells − 1\} do
5:         for j ← \{−indexNeighborCenter..\text{len}(neighborhood) − indexNeighborCenter − 1\} do
6:             currentNeighbor ← neighborhood_{j+indexNeighborCenter}
7:             if currentNeighbor \neq 0 ∧ (isWrappedGrid ∨ (¬isWrappedGrid ∧ (0 ≤ (i + j) < widthCA))) then
8:                 A_{i,(i+j) \mod widthCA} ← currentNeighbor
9:     return A

Algorithm 2 Generation of adjacency matrix of 2D cellular automaton

1: procedure GENERATE_CA2D(widthCA, heightCA, Neighborhood, indexNeighborCenter, isWrappedGrid)
2:     numberOfCells ← widthCA × heightCA
3:     A ← 0^{numberOfCells×numberOfCells} \triangleright Adjacency matrix initialization
4:     widthNB, heightNB ← shape(Neighborhood)
5:     for i ← \{0..numberOfCells − 1\} do
6:         for j ← \{−indexNeighborCenter\_0..widthNB − indexNeighborCenter\_1 − 1\} do
7:             for k ← \{−indexNeighborCenter\_1..heightNB − indexNeighborCenter\_0 − 1\} do
8:                 currentNeighbor ← Neighborhood_{j+indexNeighborCenter}
9:                 if currentNeighbor \neq 0 ∧ (isWrappedGrid ∨ (¬isWrappedGrid ∧ (0 ≤ (i mod heightCA) + j < widthCA) ∧ (0 ≤ (i/widthCA) \cdot widthCA + (k mod heightCA) \cdot widthCA) < heightCA)) then
10:                    A_{i,((i+k) \mod widthCA)+(i/widthCA) \cdot widthCA) \mod heightCA} ← currentNeighbor
11:     return A

Fig. 2. 2D cellular automaton with 16 cells (4 × 4) and wrapped grid. (a) Example of the grid of cells with states. (b) Indices of the cells and von Neumann counting neighborhood of 2D CA where thick border means the current cell and thin border means the neighbors. (c) Generated adjacency matrix for this 2D CA.

have a general representation to all of them. Therefore, CAs are treated as ANNs. Moreover, EvoDynamic framework will evolve the connectivity, update and learning rules of the dynamical systems for reservoir computing improvement and physical substrate modeling. This common representation facilitates the evolution of such systems and models which will be guided by several methods that measure the quality of a reservoir or the similarity to a dataset.

A. State trajectory

An example of methods to guide the evolution of dynamical system is the state trajectory. This method can be used to cluster similar states for model abstraction and to measure the quality of the reservoir. Therefore, a graph can be formed and analysis can be made by searching for attractors and cycles. For visualization of the state trajectory, we use principal component analysis (PCA) to reduce the dimensionality of the states and present them as a state transition diagram as shown in Fig. 3. The depicted dynamical system is Conway’s Game of Life with 7x7 cells and wrapped boundaries. A glider is its initial state and this system cycles over 28 unique states as illustrated in the state transition diagram of Fig. 3.

B. Towards the evolution for self-organized criticality

Evolution of dynamical systems is a feature currently under development of EvoDynamic framework. The first on-going evolution task of our framework is to find systems with self-organized criticality [14] using genetic algorithms, in order to allow for better computational capacity [5]. The first dynamical system for this task is a modified version of stochastic...
elementary cellular automata (SECA) introduced by Baetens et al. [25]. Our stochastic elementary cellular automaton works as an elementary CA, but the next state in time $t+1$ of the central cell $c_i$ is defined by a probability $p$ to be 1 and a probability $1-p$ to be 0 for each of the eight different neighborhood patterns that elementary CA has. Formally, probability $p$ is represented by:

$$ p = P(c_{i,t+1} = 1|N(c_{i,t})), $$

where the neighborhood pattern $N(c_{i,t})$ is denoted as:

$$ N(c_{i,t}) = (c_{i-1,t}, c_{i,t}, c_{i+1,t}). $$

The genetic algorithm for self-organized criticality is guided by a fitness function which mainly verifies if the probability distributions of avalanche size (i.e., cluster size in space) and duration (i.e., cluster size in time) follow a power-law distribution. Such verification can be done by checking how linear is the probability distribution in a log-log plot, by performing goodness-of-fit tests based on the Kolmogorov-Smirnov (KS) statistic and by comparing the power-law model with other alternative models, such as exponential and log-normal, using log-likelihood ratio [26]. For our fitness function, we estimate the candidate distributions with the linear fitting of the first 10 points of the log-log plot using least squares regression, which was verified to be not biased and gives a fast and acceptable estimation of the slope of the power-law distribution [27]. After the linear 10-points fitting, the model is tested using KS statistic. One benefit of using such estimation method is that when the model is not a power-law, the KS statistic reports a large error, i.e., an error greater than one. Another objective in the fitness function is the coefficient of determination [28], but for a complete linear fit of the log-log plot. The fitness function also considers the number of unique states of the stochastic elementary CA, the number of bins in the raw histogram and the value of the estimated power-law exponent. All these fitness function objectives are calculated using a randomly initialized CA of 1000 cells after 1000 time-steps with wrapped boundaries. The avalanche size $s$ and duration $d$ are computed for the cell values 0 and 1, thus it produces four different distributions $s_0$, $d_0$, $s_1$, $d_1$ and vectors of their normalized number of bins $\text{bin}$, KS statistic $D$, coefficient of determination of complete linear fitting $R^2$ and the 10-points
The kinetic Monte Carlo method is used to simulate the avalanche size and duration for the states zero and one. Such distributions follow a power-law because, visually, the estimated power-law distribution fits the empirical probability distribution and, quantitatively, the $p$-value is equal to 0.1 which means that 100% of the KS statistic of the generated data is greater than the KS statistic of the empirical distribution of avalanche size and duration of state 1. The number of samples in those distributions (62731 for avalanche size and 52902 for avalanche duration) confirms that the distributions do not fit the power-law estimation (the black dashed line). Moreover, the $p$-value is equal to 0.0 which proves that those two distributions are not a power-law. The Fig. 4a and Fig. 4b present the avalanche size and duration for the state 1 or white. Those distributions follow a power-law because, visually, the estimated power-law distribution fits the empirical probability distribution and, quantitatively, the $p$-value is equal to 1.0 which means that 100% of the KS statistic of the generated data is greater than the KS statistic of the empirical distribution of avalanche size and duration of state 1. The number of samples in those distributions (62731 for avalanche size and 52902 for avalanche duration) confirms that the $p$-value is trustworthy. Such power-law analysis is performed by utilizing the powerlaw Python library [30].

A sample of the resulting stochastic elementary cellular automaton of the best individual is illustrated in Fig. 5. This CA, as seen, has no static nor periodic states, and also no random evolution of its states. Therefore, the CA presents patterns or structures that mean the cells are interdependent in this system.

V. Conclusion

In this paper, we present an alternative method to implement a cellular automaton. This allows any CA to be computed as an artificial neural network. Therefore, this will help to extend the CA implementation to more complex dynamical systems, such as echo state networks and liquid state machines. Furthermore, the EvoDynamic framework is built on a deep learning library, TensorFlow, which permits the acceleration of the execution when applied on parallel computational platforms with fast CPUs and GPUs. The planned future implementations of EvoDynamic are presented and discussed. The state trajectory is an important feature for the targeted future tasks. The evolution
towards self-organized criticality of stochastic CA is showing promising results. The future work for the CA implementation is to develop algorithms to procedurally generate adjacency matrices for 3D and multidimensional cellular automata with different types of cells, such as the cells with hexagonal shape.

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Fig. 5. Sample of the best evolved stochastic elementary CA of 200 cells (horizontal axis) randomly initialized with wrapped boundaries through 400 time-steps (vertical axis).