

Looking Back: a Probabilistic Inverse Perspective on Test Generation

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1 Introduction

Software validation is hard, among others things because of the sheer size of the input space [7, 18]. Reversible computation has shown promises to mitigate some difficulties in software debugging [3, 6], but has not been applied to the wider area of software validation. To alleviate this, we propose to relax reversible computing to a combination of probabilistic [4, 14] and inverse computation [2, 9, 12]. This will create a new model that is a great candidate for mitigating the difficulties of software validation.

Imagine being able to find the cause of any error by simply calling a program's inverse on it. A significant limitation to this naïve approach is that the program needs to be injective; unfortunately, most programs do not have this property. To overcome this limitation, we characterise the inverse of a program in terms of a probabilistic program as follows:

Let $f: A \to B$ be a function, and consider two inputs $a_0 \neq a_1 \in A$ such that $f(a_0) = f(a_1)$. There exists no function $g: B \to A$ such that $g \circ f = id_A$. Instead, we consider the function $INVERT(f): B \to \delta(A)^{-1}$ which maps each output f(a) to a probability distribution over the corresponding possible inputs $\delta: A \to Prob$. We call INVERT(f) the probabilistic invers of f. Consider any output $b \in B$. The probability distribution $\delta_b = INVERT(f)(b)$ maps each possible input $a \in A$ to the likelihood $\delta_b(a) \in Prob$ of "b originating from a". Note that this function is guaranteed to exist, which cannot be said for classic inverse functions. Moreover, the latter are generally partial functions, which complicates reasoning about them. Meanwhile our probabilistic inverses are guaranteed to be total.

In conventional reversible programming languages, programs are guaranteed to be (globally) invertible by enforcing a strict syntactic discipline [8,17,19]. Programs may only be comprised of locally isomorphic parts and combinations are restricted to preserve their isomorphic properties. These restrictions do not apply to the programs we consider. Moreover, we conjecture that our probabilistic inverses can be used to reason about the quality of test generators such as QuickCheck [1, 10], or perhaps even to derive such generators.

2 Test Case Generation

Generating input for QuickCheck properties is hard for at least two reasons: (i) The search space is huge. (ii) The counterexamples usually refer to edge cases that correspond to low-

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[†]This research is partially funded by the Deutsche Forschungsgemeinschaft (DFG) – SFB 1119 – 236615297. ¹We abbreviate the space of probability distribution over A by $\delta(A) := (A \rightarrow \text{Prob})$.

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probability events. Knowing the probability distribution allows to (i) trim the search space and (ii) identify edge cases by favouring low-probability input. To illustrate these challenges, let us consider the following expression evaluation function (cf. Appendix A for full definition):

eval env (Val v) = return v eval env (Var x) = env x eval env (Let x e0 e1) = eval (extend x (eval env e0) env) e1

Suppose further, that our goal is to generate expressions for testing type preservation. That is, there is another function typeOf, and we want to check the property:

prop_type_preservation e = (typeOf e == typeOf (Val (eval emptyEnv e)))

Randomly generating test expressions is unlikely to yield useful results. Most expressions are not closed and many do not contain any bindings at all. Considering any such expressions will not help us in testing our type preservation property. In contrast, the expressions we are interested in only make up a small fraction of the entire input space: Closed expressions with a fair number of bindings. We capture this limitation with the following predicate:

interesting e = closed e && numberOfVars e `elem` normalDistribution (6, 3)

Interesting expressions can have an arbitrary many variables. We specify the number of bindings as probability distribution: A normal distribution of 6 variables and standard derivation of 3.

3 Probability Type System

This work aims to characterise probabilistic inverses $INVERT(f) : B \to \delta(A)$ of non-injective programs $f : A \to B$. The main challenge in addressing this goal is to extract the inverse probability distribution $\delta \in \delta(A)$. We propose to solve this via a type system that augments types τ by distributions δ . Consequently, our typing relation has the form $e : (\tau, \delta)$. There are four main cases to consider: (i) values of base types (i.e. non-function types), (ii) built-in injective operations, (iii) non-injective operations and (iv) control flow.

Base Values and Injective Operations: Expressions of any base type do not take any input. To avoid special treatment, we extract the trivial distribution δ : () \mapsto 1. Treating built-in injective operations $op : A \to B$ is similarly straightforward. For each $b \in B$, we can extract the distribution $\delta_b : A \to \text{Prob}$ by setting $\delta_b(a) = 1$ if op(a) = b and $\delta_b(a) = 0$ otherwise.

Non-Injective Operations: Extracting distributions for expressions involving noninjective operations like + is more challenging. We propose to handle such expressions by following the structure of the AST and combining the subexpressions' distributions. The biggest challenge is finding a sound distribution composition $\delta_0 \oplus \delta_1$, satisfying the typing judgement

$$+(\tau,\delta):\frac{\Gamma\vdash e_0:(\tau,\delta_0)\quad\Gamma\vdash e_1:(\tau,\delta_1)}{\Gamma\vdash e_0+e_1:(\tau,\delta_0\oplus\delta_1)}.$$

Control Flow and Probability Bounds: We can treat branching control flow similarly to non-injective operations. The main challenge is to extract distributions for each branch and to combine them. A branch's probability is proportional to the fraction of inputs for which an execution follows said branch. To avoid computability issues, we resort to over-approximations, i.e., extracting upper bounds on the probability of each branch. This requires us to relax our notion of probability distributions and accept that the sum of all branch bounds exceeds 1. Yet, it is important to note that this will still allow us to reason about the quality of test generators.

Related Work: Previous work has defined a semantics for probabilistic programming with higher-order functions [15]. In [5], this work was extended to allow a structured way to formulate statistics with the possibility to work outside the standard measure-theoretic formalization

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of probability theory. This work has so far concluded in the paradigm of exact conditioning for observations in probabilistic programs [16]. A method for automatically deriving Monte Carlo samplers from probabilistic programs [13] has applied automatic differentiation and transformation inversion, while [11] have relaxed the usual PPL design constraint to achieve a richer language model.

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A Full Type Preservation Example

```
data Expr
  = Val Value
  | Var Name
  | Let Name Expr Expr
data Value
  = VInt Integer
  | VBool Bool
type Name = String
type Error = String
type Env = Name -> Either Error Value
emptyEnv :: Env
emptyEnv x = Left $ "unbound variable " ++ x
extend :: Eq a \Rightarrow a \Rightarrow b \Rightarrow ((a \Rightarrow b) \Rightarrow (a \Rightarrow b))
extend x v env y = if x == y then v else env y
eval :: Env -> Expr -> Either Error Value
eval _ (Val v ) = return v
eval env (Var x
                     ) = env x
eval env (Let x e0 e1) = eval (extend x (eval env e0) env) e1
closed :: Expr -> Bool
closed e = (freeVars e == [])
freeVars :: Expr -> [Name]
freeVars (Val _
                    ) = []
                     ) = [x]
freeVars (Var x
freeVars (Let x e0 e1) = freeVars e0 ++ filter (/= x) (freeVars e1)
numberOfVars :: Expr -> Int
numberOfVars (Val _ ) = 0
numberOfVars (Var _
                          ) = 1
numberOfVars (Let _ e0 e1) = numberOfVars e0 + numberOfVars e1
```

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```
data Type
 = TInt
  | TBool
typeOf :: Expr -> Type
typeOf = typeOf' (const undefined)
 where
   typeOf' _ (Val (VInt _)) = TInt
   typeOf' _ (Val (VBool _)) = TBool
   typeOf'r (Var x) = r x
   typeOf' r (Let x e0 e1) = typeOf' (extend x (typeOf' r e0) r) e1
prop_type_preservation :: Expr -> Bool
prop_type_preservation e = (typeOf e == typeOf (Val (eval emptyEnv e)))
-- The goal of this research
instance Arbitrary Expr where
  arbitrary = probabilisticInverse interesting True
interesting :: Expr -> Bool
interesting e = closed e && numberOfVars e `elem` normalDistribution (6, 3)
```