



## Adaptive Fuzzy Control for Fractional-Order Nonlinear System with Unknown Dead Zone

---

Yongliang Zhan and Shaocheng Tong

EasyChair preprints are intended for rapid dissemination of research results and are integrated with the rest of EasyChair.

November 7, 2020

# Adaptive Fuzzy Control for Fractional-Order Nonlinear System with Unknown Dead Zone

Yongliang Zhan  
Liaoning University of Technology  
College of Science  
Jinzhou, Liaoning  
zhan\_yongliang@163.com

Shaocheng Tong  
Liaoning University of Technology  
College of Science  
Jinzhou, Liaoning  
jztongsc@163.com

**Abstract**—In this paper, an adaptive fuzzy backstepping dynamic surface control (DSC) scheme is proposed for fractional-order nonlinear systems (FONNs) in strict-feedback form with external disturbances and unknown dead zone. Fuzzy logic systems (FLSs) are utilized to approximate unknown nonlinear functions. By utilizing the DSC to avoid the inherent problem of ‘explosion of complexity’ in the backstepping technique, at the same time, constructing the dead zone inverse to compensate for the dead zone effect. Finally, the raised method can ensure that all the signals of the fractional-order closed-loop system are bounded, and the tracking error becomes arbitrarily small.

**Keywords**—Fractional-order system, backstepping, DSC, unknown dead zone

## I. INTRODUCTION

Fractional-order (FO) calculus is an ancient and novel subject. As early as the founding of fractional calculus, some scholars began to consider its meaning. Due to the lack of practical application drive, it develops very slowly. In the long history of nearly 300 years, it has been regarded as a profound pure theoretical problem in the field of pure mathematics. Fractional calculus is abstruse and abstract, and its development has been difficult. It was not until the 1980s that scholars discovered that there are systems in nature and engineering where the order of calculus is not integer. Because of its ability to describe the system model more accurately, fractional calculus has become a powerful tool for studying fractal geometry and fractional dynamics, such as fractional-order uncertain viscoelastic models [1], etc.

With the emergence of FO system, FO control is also developed. However, the research on fractional control is still in its infancy, and there are still many areas that need to be improved. Backstepping control technique has been a powerful method to deal with non-smooth nonlinear system. From then on, adaptive backstepping control technique has received widespread concern. FLSs and neural networks (NNs) have the characteristics of approximating unknown nonlinear continuous functions. The combination of backstepping control with adaptive fuzzy or NNs control are applied to integer-order nonlinear systems (IONSs), and many results have been achieved. For example, the authors in [2] designed an adaptive NNs-based decentralized control approach for uncertain switched interconnected nonlinear system in nonstrict-feedback form with the prescribed performance. However, because FO calculus is more complicated than integer-order (IO) calculus, some results obtained in IONSs may not be directly applied to FONNs. Moreover, in comparison with the IO controller, the FO controller possesses higher design freedom and better robustness and transient performance. So, in recent years,

some scholars have begun to turn their eyes from IONSs to FONNs. Fractional calculus has been paid more and more attention. After continuous research, many results have been achieved. For example, in [3], an adaptive fuzzy backstepping control method put forward strict-feedback FONNs with unknown external disturbances. Nevertheless, in the use of backstepping control technique, some nonlinear functions need to be derived repeatedly, which leads to the issue of ‘explosion of complexity’. [4] is combined DSC with backstepping control to avoid the issue. Another point that needs to be noted is that in the actual control task, some components expose some subtle problems due to their own objective factors, such as non-smooth nonlinear. Dead zone is a common non-smooth nonlinearity, which exists may severely restrict system properties and even do great damage to system stability. In order to handle the system with unknown dead zone, a method was raised in [5]. A robust adaptive control put forward IONSs with unknown dead zone without constructing the dead zone inverse and it assumes that the slopes of dead zone must be equal without considering unequal situation.

By the aforementioned observations, in the article, the main contribution is as follows. Considering the slopes and the breakpoints of the dead zone are not equal, that is, the asymmetric dead zone, the dead zone inversion method is used to handle this problem. Utilizing the dead zone inverse and the compensation term to compensate for the influence of the dead zone, external disturbances and approximation errors in the FONNs. By bringing in DSC, the calculation is simplified. Finally, it proves that the adaptive fuzzy DSC method can ensure the stability of the FONNs and has good tracking performance.

The article structure is as follows. In Section II, we bring in some preliminaries, formulations and system descriptions. The detailed design steps are described in Section III. Some conclusions are given in Section IV.

## II. PROBLEM FORMULATIONS AND PRELIMINARIES

### A. System descriptions

Consider the following FONNs in strict-feedback form:

$$\begin{aligned} {}_0^c D_t^\alpha x_1 &= f_1(x_1) + x_2 + d_1(t) \\ {}_0^c D_t^\alpha x_i &= f_i(\bar{x}_i) + x_{i+1} + d_i(t) \quad 2 \leq i \leq n-1 \\ {}_0^c D_t^\alpha x_n &= f_n(\bar{x}_n) + D(u) + d_n(t) \\ y &= x_1 \end{aligned} \quad (1)$$

where  $0 < \alpha < 1$  is the system order,  $\bar{x}_i = [x_1, x_2, \dots, x_i]^T \in R^i$  is the system state vector, and  $y \in R$  is the system output.  $f_i(\cdot)$ , ( $i=1,2,\dots,n$ ) is the smooth unknown nonlinear function.  $d_i(t)$ , ( $i=1,2,\dots,n$ ) is the unknown but bounded external disturbance,  $|d_i(t)| \leq \bar{d}_i$ ,  $\bar{d}_i$  is an unknown positive constant. Let  $y_d$  be a desired signal.  $y_d$ ,  ${}^c_0 D_t^\alpha y_d$  and  ${}^c_0 D_t^{2\alpha} y_d$  are smooth, available and bounded.  $D(u) \in R$  is an asymmetrical dead zone output. According to [11], its definition as following

$$D(u) = \begin{cases} m_r(u - b_r), & u \geq b_r \\ 0, & b_l < u < b_r \\ m_l(u - b_l), & u \leq b_l \end{cases} \quad (2)$$

where  $b_r$  and  $b_l$  are the breakpoints,  $m_r$  and  $m_l$  represent the slopes, respectively. The coefficients  $m_r > 0$ ,  $b_r \geq 0$ ,  $m_l > 0$  and  $b_l \leq 0$  are unknown constants and  $b_r \neq |b_l|$ ,  $m_r \neq m_l$ .

The inverse of the dead zone nonlinearity can be shown as follows

$$u = D^{-1}(v) = \frac{v + \hat{b}_{r,m}}{\hat{m}_r} \omega + \frac{v + \hat{b}_{l,m}}{\hat{m}_l} (1 - \omega) \quad (3)$$

where  $v$  is a designed control law.  $\hat{b}_{r,m}$ ,  $\hat{b}_{l,m}$ ,  $\hat{m}_r$  and  $\hat{m}_l$  are the estimates of the dead zone parameters  $m_r b_r$ ,  $m_l b_l$ ,  $m_r$  and  $m_l$ , respectively.

Define  $\omega$  as

$$\omega = \begin{cases} 1 & v \geq 0 \\ 0 & v < 0 \end{cases} \quad (4)$$

Substituting (3), (4) into (2), we have

$$D(u) - v = \left( \frac{v + \hat{b}_{r,m}}{\hat{m}_r} \tilde{m}_r - \tilde{b}_{r,m} \right) \omega + \left( \frac{v + \hat{b}_{l,m}}{\hat{m}_l} \tilde{m}_l - \tilde{b}_{l,m} \right) (1 - \omega) + \delta \quad (5)$$

where  $\tilde{b}_{r,m} = b_{r,m} - \hat{b}_{r,m}$ ,  $\tilde{m}_l = m_l - \hat{m}_l$ ,  $\tilde{b}_{l,m} = b_{l,m} - \hat{b}_{l,m}$  and  $\tilde{m}_r = m_r - \hat{m}_r$  are the parameter errors.  $\delta = -m_r o_r(u - b_r) - m_l o_l(u - b_l)$  satisfies  $|\delta| \leq \delta^*$  with  $\delta^*$  being unknown positive constant.

where

$$o_r = \begin{cases} 1 & 0 \leq u \leq b_r \\ 0 & \text{others} \end{cases} \text{ and } o_l = \begin{cases} 1 & b_l \leq u \leq 0 \\ 0 & \text{others} \end{cases} \quad (6)$$

## B. Preliminaries

**Definition 1 [6]:** Suppose that  $F: [t_0, +\infty) \rightarrow R$  is a continuously differentiable function, its Caputo fractional order differentiable with order  $\alpha$  ( $\alpha \in (\omega, \omega - 1)$ ,  $\omega \in N^+$ ) is defined as:

$${}^c_0 D_t^\alpha F(t) = \frac{1}{\Gamma(\omega - \alpha)} \int_0^t \frac{F^{(\omega)}(\tau)}{(t - \tau)^{\alpha + 1 - \omega}} d\tau \quad (7)$$

where  $\Gamma(\bullet) = \int_0^{+\infty} \tau^{-1} e^{-\tau} d\tau$  denotes the Euler's Gamma function, satisfying  $\Gamma(1) = 1$ .

**Definition 2 [6]:** The Mittag-Leffler function with two parameters can be defined as:

$$E_{\alpha, \phi}(\gamma) = \sum_{j=0}^{\infty} \frac{\gamma^j}{\Gamma(j\alpha + \phi)} \quad (8)$$

where  $\alpha, \phi > 0$  are constants,  $\gamma$  is a complex number.

**Lemma 1 [6]:** For two real numbers  $\alpha \in (0, 1)$ ,  $\xi \in (\pi\alpha/2, \min\{\pi, \pi\alpha\})$  and a complex number  $\beta$ , the following equation holds for all integer  $n \geq 1$ :

$$E_{\alpha, \beta}(\zeta) = -\sum_{j=1}^n \frac{1}{\Gamma(\beta - \alpha j) \zeta^j} + o\left(\frac{1}{|\zeta|^{n+1}}\right) \quad (9)$$

when  $|\zeta| \rightarrow \infty$ ,  $v \leq \arg(\zeta) \leq \pi$ .

**Lemma 2 [6]:** Let  $\alpha$  satisfy  $\alpha \in (0, 2)$  and  $\beta$  be an arbitrary real number. For an arbitrary positive constant  $\delta$  such that  $\delta \in (\pi\alpha/2, \min\{\pi, \pi\alpha\})$ , then one has

$$E_{\alpha, \beta}(\zeta) \leq \frac{\lambda}{1 + |\zeta|} \quad (10)$$

where  $\lambda > 0$ ,  $|\zeta| \geq 0$ , and  $\delta \leq \arg(\zeta) \leq \pi$ .

**Lemma 3 [7]:** Let  $x = 0$  be an equilibrium point of the fractional-order nonlinear system  ${}^c_0 D_t^\alpha x(t) = f(t, x(t))$ , where  $f(\cdot)$  is a Lipschitz continuous. If there exist a Lyapunov function  $V(t, x(t))$  and several class- $\kappa$  functions  $g_k$ ,  $k = 1, 2, 3$ , such that inequalities hold,

$$g_1(\|x(t)\|) \leq V \leq g_2(\|x_2\|), {}^c_0 D_t^\alpha V \leq -g_3(\|x(t)\|) \quad (11)$$

thus  ${}^c_0 D_t^\alpha x(t) = f(t, x(t))$  ( $\alpha \in (0, 1)$ ) is asymptotically stable.

**Lemma 4 [8]:** For all  $\varpi > 0$  and  $S \in R$ , the following inequality will hold:

$$0 \leq |S| - \frac{S^2}{\sqrt{S^2 + \varpi^2}} < \varpi \quad (12)$$

**Lemma 5 [9]:** Let  $x(t) \in R$  be a smooth function. For all  $t \geq t_0$ , it satisfies

$$\frac{1}{2} {}^c D_t^\alpha (x^T(t)x(t)) \leq x^T(t) {}^c D_t^\alpha x(t) \quad (13)$$

**Lemma 6 [10]:** Let  $f(x)$  be a continuous function defined on a compact set  $\Omega$ . Then for any constant  $\varepsilon > 0$ , there exists an FLS such as

$$\sup_{x \in \Omega} |f(x) - \theta^T \varphi(x)| \leq \varepsilon \quad (14)$$

From Lemma 6, we can use FLS to approximate unknown function  $f_i(\bar{x}_i)$  as

$$\hat{f}_i(\bar{x}_i | \theta_i) = \theta_i^T \varphi_i(\bar{x}_i) \quad (15)$$

Due to [10], define the optimal parameter vector  $\theta_i^*$  as:

$$\theta_i^* = \arg \min_{\theta_i \in \Omega_i, \bar{x}_i \in U} [\sup | \hat{f}_i(\bar{x}_i | \theta_i) - f_i(\bar{x}_i) |] \quad (16)$$

where  $U$  and  $\Omega_i$  are compact sets for  $\bar{x}_i$  and  $\theta_i$ , respectively. The minimum approximation errors  $\varepsilon_i$  are defined as

$$\varepsilon_i = f_i(\bar{x}_i) - \hat{f}_i(\bar{x}_i | \theta_i^*) \quad (17)$$

where  $\varepsilon_i$  satisfies  $|\varepsilon_i| \leq \varepsilon_i^*$ ,  $\varepsilon_i^*$  is an unknown positive constant.

### III. ADAPTIVE FUZZY CONTROL DESIGN AND STABILITY ANALYSIS

#### A. Adaptive Fuzzy Control Design

Consider the coordinate transformation as follows

$$S_1 = x_1 - y_d, S_i = x_i - \xi_{i-1}, \rho_{i-1} = \xi_{i-1} - \alpha_{i-1} \quad (18)$$

where  $S_1$  is the tracking error,  $S_i$ ,  $i = 2, \dots, n$  is the surface error,  $\xi_i$  is new intermediate variable which can be gained by making the intermediate control function  $\alpha_i$  through the fractional-order dynamic surface filter,  $\rho_i$  is the fractional-order dynamic surface filter output error.

Step1: From (1), (17) and (18), the derivative of  $S_1$  is

$${}^c D_t^\alpha S_1 = S_2 + \xi_1 + d_1 - {}^c D_t^\alpha y_d + \tilde{\theta}_1^T \varphi_1(x_1) + \theta_1^T \varphi_1(x_1) + \varepsilon_1 \quad (19)$$

where  $\theta_1$  is the estimation of  $\theta_1^*$ ,  $\tilde{\theta}_1 = \theta_1^* - \theta_1$  is the parameter error.

Consider the following Lyapunov function:

$$V_1 = \frac{1}{2} S_1^2 + \frac{1}{2\gamma_1} \tilde{\theta}_1^T \tilde{\theta}_1 + \frac{1}{2\bar{\gamma}_1} \tilde{\Delta}_1^2 \quad (20)$$

where  $\gamma_1 > 0$  and  $\bar{\gamma}_1 > 0$  are design constants,  $\Delta_1 = \varepsilon_1^* + \bar{d}_1$  and  $\hat{\Delta}_1$  is the estimation of  $\Delta_1$ ,  $\tilde{\Delta}_1 = \Delta_1 - \hat{\Delta}_1$  is the parameter error.

From (19) and (20), the time derivative of  $V_1$  is

$$\begin{aligned} {}^c D_t^\alpha V_1 \leq & S_1(S_2 + \xi_1 - {}^c D_t^\alpha y_d + \tilde{\theta}_1^T \varphi_1(x_1) + \theta_1^T \varphi_1(x_1)) \\ & + |S_1| \Delta_1 - \frac{1}{\gamma_1} \tilde{\theta}_1^T {}^c D_t^\alpha \tilde{\theta}_1 - \frac{1}{\bar{\gamma}_1} \tilde{\Delta}_1 {}^c D_t^\alpha \hat{\Delta}_1 \end{aligned} \quad (21)$$

Through Lemma 4, we can get

$$|S_1| \Delta_1 \leq \Delta_1 \varpi + \Delta_1 S_1^2 / \sqrt{S_1^2 + \varpi^2} \quad (22)$$

Design the adaptation laws and the intermediate control function  $\alpha_1$  as follow

$$\begin{cases} {}^c D_t^\alpha \theta_1 = \gamma_1 S_1 \varphi_1(x_1) - \sigma_1 \theta_1 \\ {}^c D_t^\alpha \hat{\Delta}_1 = \bar{\gamma}_1 S_1^2 / \sqrt{S_1^2 + \varpi^2} - \bar{\sigma}_1 \hat{\Delta}_1 \end{cases} \quad (23)$$

$$\alpha_1 = -c_1 S_1 - \theta_1^T \varphi_1(x_1) - \hat{\Delta}_1 S_1 / \sqrt{S_1^2 + \varpi^2} + {}^c D_t^\alpha y_d \quad (24)$$

where  $\sigma_1$  and  $\bar{\sigma}_1$  are positive design constants.  $\hat{\Delta}_1 S_1 / \sqrt{S_1^2 + \varpi^2}$  is a compensation term used to compensate for  $\varepsilon_1^*$  and  $\bar{d}_1$ , as well as in the following steps.

Substituting (22)-(24), adding and subtracting  $S_1 \alpha_1$  into (21), we can get

$${}^c D_t^\alpha V_1 \leq -c_1 S_1^2 + S_1(S_2 + \xi_1 - \alpha_1) + \Delta_1 \varpi + \frac{\sigma_1}{\gamma_1} \tilde{\theta}_1^T \tilde{\theta}_1 + \frac{\bar{\sigma}_1}{\bar{\gamma}_1} \tilde{\Delta}_1 \hat{\Delta}_1 \quad (25)$$

Let the intermediate control function  $\alpha_1$  pass through a fractional-order dynamic surface filter with a time constant  $\tau_1$  to get  $\xi_1$ .

$$\tau_1 {}^c D_t^\alpha \xi_1 = -\rho_1 - \frac{\tau_1 \hat{M}_1^2 \rho_1}{\sqrt{\hat{M}_1^2 \rho_1^2 + \varpi^2}} - \tau_1 S_1, \xi_1(0) = \alpha_1(0) \quad (26)$$

Then we have

$${}^c_0 D_t^\alpha \rho_i = -\frac{\rho_i}{\tau_i} - \frac{\hat{M}_i^2 \rho_i}{\sqrt{\hat{M}_i^2 \rho_i^2 + \varpi^2}} - S_i + G_i(\cdot) \quad (27)$$

where  $G_i(\cdot)$  is a continuous function. From the existing results [4], there is an unknown positive constant  $M_i$  such that  $|G_i(\cdot)| \leq M_i$  in a given compact set  $\Psi_i$ .  $\hat{M}_i$  is the estimation of  $M_i$ ,  $\tilde{M}_i = M_i - \hat{M}_i$  is the parameter error.

Step i: From (1), (17) and (18), the derivative of  $S_i$  is

$$\begin{aligned} {}^c_0 D_t^\alpha S_i &= S_{i+1} + \tilde{\theta}_i^T \varphi_i(\bar{x}_i) + \xi_i + \theta_i^T \varphi_i(\bar{x}_i) + \varepsilon_i + d_i \\ &+ \frac{\rho_{i-1}}{\tau_{i-1}} + \frac{\hat{M}_{i-1}^2 \rho_{i-1}}{\sqrt{\hat{M}_{i-1}^2 \rho_{i-1}^2 + \varpi^2}} + S_{i-1} \end{aligned} \quad (28)$$

where  $\theta_i$  is the estimation of  $\theta_i^*$ ,  $\tilde{\theta}_i = \theta_i^* - \theta_i$  is the parameter error.

Consider the following Lyapunov function:

$$V_i = V_{i-1} + \frac{1}{2} S_i^2 + \frac{1}{2\gamma_i} \tilde{\theta}_i^T \tilde{\theta}_i + \frac{1}{2\bar{\gamma}_i} \tilde{\Delta}_i^2 + \frac{1}{2\bar{\gamma}_{i-1}} \tilde{M}_{i-1}^2 + \frac{1}{2} \rho_{i-1}^2 \quad (29)$$

where  $\bar{\gamma}_{i-1}$ ,  $\bar{\gamma}_i$  and  $\gamma_i$  are positive design constants,  $\Delta_i = \varepsilon_i^* + \bar{d}_i$  and  $\hat{\Delta}_i$  is the estimation of  $\Delta_i$ ,  $\tilde{\Delta}_i = \Delta_i - \hat{\Delta}_i$  is the parameter error.

From (28) and (29), the time derivative of  $V_i$  is

$$\begin{aligned} {}^c_0 D_t^\alpha V_i &\leq {}^c_0 D_t^\alpha V_{i-1} + S_i(S_{i+1} + \tilde{\theta}_i^T \varphi_i(\bar{x}_i) + \xi_i + \theta_i^T \varphi_i(\bar{x}_i) \\ &+ \frac{\rho_{i-1}}{\tau_{i-1}} + \frac{\hat{M}_{i-1}^2 \rho_{i-1}}{\sqrt{\hat{M}_{i-1}^2 \rho_{i-1}^2 + \varpi^2}} + S_{i-1}) + |S_i| \Delta_i \\ &+ \rho_{i-1} \left( -\frac{\rho_{i-1}}{\tau_{i-1}} - \frac{\hat{M}_{i-1}^2 \rho_{i-1}}{\sqrt{\hat{M}_{i-1}^2 \rho_{i-1}^2 + \varpi^2}} - S_{i-1} \right) + \rho_{i-1} G_{i-1}(\cdot) \\ &- \frac{1}{\gamma_i} \tilde{\theta}_i^T {}^c_0 D_t^\alpha \tilde{\theta}_i - \frac{1}{\bar{\gamma}_i} \tilde{\Delta}_i {}^c_0 D_t^\alpha \tilde{\Delta}_i - \frac{1}{\bar{\gamma}_{i-1}} \tilde{M}_{i-1} {}^c_0 D_t^\alpha \tilde{M}_{i-1} \end{aligned} \quad (30)$$

Through Lemma 4, we can get

$$\begin{cases} |S_i| \Delta_i \leq \Delta_i S_i^2 / \sqrt{S_i^2 + \varpi^2} + \Delta_i \varpi \\ \rho_{i-1} G_{i-1}(\cdot) \leq \rho_{i-1} |M_{i-1}| \\ \leq \frac{\hat{M}_{i-1}^2 \rho_{i-1}^2}{\sqrt{\hat{M}_{i-1}^2 \rho_{i-1}^2 + \varpi^2}} + \varpi + |\rho_{i-1}| |\tilde{M}_{i-1}| \end{cases} \quad (31)$$

Design the adaptation laws and the intermediate control function  $\alpha_i$  are as follow

$$\begin{aligned} \alpha_i &= -c_i S_i - 2S_{i-1} - \theta_i^T \varphi_i(\bar{x}_i) - \hat{\Delta}_i S_i / \sqrt{S_i^2 + \varpi^2} \\ &- \rho_{i-1} / \tau_{i-1} - \hat{M}_{i-1}^2 \rho_{i-1} / \sqrt{\hat{M}_{i-1}^2 \rho_{i-1}^2 + \varpi^2} \end{aligned} \quad (32)$$

$$\begin{cases} {}^c_0 D_t^\alpha \theta_i = S_i \gamma_i \varphi_i(\bar{x}_i) - \sigma_i \theta_i \\ {}^c_0 D_t^\alpha \hat{\Delta}_i = \bar{\gamma}_i S_i^2 / \sqrt{S_i^2 + \varpi^2} - \bar{\sigma}_i \hat{\Delta}_i \\ {}^c_0 D_t^\alpha \hat{M}_{i-1} = -\bar{\sigma}_{i-1} \hat{M}_{i-1} + \bar{\gamma}_{i-1} |\rho_{i-1}| \end{cases} \quad (33)$$

where  $\sigma_i$ ,  $\bar{\sigma}_i$  and  $\bar{\sigma}_{i-1}$  are positive design constants.

Substituting (31)-(33) into (30), adding and subtracting  $S_i \alpha_i$ , we can get

$$\begin{aligned} {}^c_0 D_t^\alpha V_i &\leq -\sum_{j=1}^i c_j S_j^2 + \sum_{j=1}^i \frac{\sigma_j}{\gamma_j} \tilde{\theta}_j^T \tilde{\theta}_j + (i-1)\varpi + \sum_{j=1}^i \frac{\bar{\sigma}_j}{\bar{\gamma}_j} \tilde{\Delta}_j \hat{\Delta}_j \\ &+ \sum_{j=1}^{i-1} \frac{\bar{\sigma}_j}{\bar{\gamma}_j} \tilde{M}_j \hat{M}_j - \sum_{j=1}^{i-1} \frac{\rho_j^2}{\tau_j} + S_i(S_{i+1} + \xi_i - \alpha_i) + \sum_{j=1}^i \Delta_j \varpi \end{aligned} \quad (34)$$

Let the intermediate control function  $\alpha_i$  pass through a fractional-order dynamic surface filter with time constant  $\tau_i$  to get  $\xi_i$ .

$$\tau_i {}^c_0 D_t^\alpha \xi_i = -\rho_i - \frac{\tau_i \hat{M}_i^2 \rho_i}{\sqrt{\hat{M}_i^2 \rho_i^2 + \varpi^2}} - \tau_i S_i, \quad \xi_i(0) = \alpha_i(0) \quad (35)$$

Then we have

$${}^c_0 D_t^\alpha \rho_i = -\frac{\rho_i}{\tau_i} - \frac{\hat{M}_i^2 \rho_i}{\sqrt{\hat{M}_i^2 \rho_i^2 + \varpi^2}} - S_i + G_i(\cdot) \quad (36)$$

where  $G_i(\cdot)$  is a continuous function. There is an unknown positive constant  $M_i$  such that  $|G_i(\cdot)| \leq M_i$  in a given compact set  $\Psi$ ,  $\Psi = \Psi_1 \vee \Psi_2 \vee \dots \vee \Psi_{n-1}$ .  $\hat{M}_i$  is the estimation of  $M_i$ ,  $\tilde{M}_i = M_i - \hat{M}_i$  is the parameter error.

Step n: From (1), (17) and (18), the derivative of  $S_n$  is

$$\begin{aligned} {}^c_0 D_t^\alpha S_n &= \left( \frac{v + \hat{b}_{r,m}}{\hat{m}_r} \tilde{m}_r - \tilde{b}_{r,m} \right) \omega + \varepsilon_n + d_n + \tilde{\theta}_n^T \varphi_n(\bar{x}_n) \\ &+ \left( \frac{v + \hat{b}_{l,m}}{\hat{m}_l} \tilde{m}_l - \tilde{b}_{l,m} \right) (1 - \omega) + S_{n-1} + \delta + v \\ &+ \frac{\hat{M}_{n-1}^2 \rho_{n-1}}{\sqrt{\hat{M}_{n-1}^2 \rho_{n-1}^2 + \varpi^2}} + \theta_n^T \varphi_n(\bar{x}_n) + \frac{\rho_{n-1}}{\tau_{n-1}} \end{aligned} \quad (37)$$

where  $\theta_n$  is the estimation of  $\theta_n^*$ ,  $\tilde{\theta}_n = \theta_n^* - \theta_n$  is the parameter error.

Consider the following Lyapunov function:

$$\begin{aligned} V_n &= V_{n-1} + \frac{1}{2} S_n^2 + \frac{1}{2\gamma_n} \tilde{\theta}_n^T \tilde{\theta}_n + \frac{1}{2\bar{\gamma}_n} \tilde{\Delta}_n^2 + \frac{1}{2\bar{\gamma}_{n-1}} \tilde{M}_{n-1}^2 \\ &+ \frac{1}{2} \rho_{n-1}^2 + \frac{1}{2\chi_1} \tilde{m}_r^2 + \frac{1}{2\chi_2} \tilde{m}_l^2 + \frac{1}{2\chi_3} \tilde{b}_{r,m}^2 + \frac{1}{2\chi_4} \tilde{b}_{l,m}^2 \end{aligned} \quad (38)$$

where  $\chi_1, \chi_2, \chi_3, \chi_4, \gamma_n, \bar{\gamma}_n$ , and  $\bar{\gamma}_{n-1}$  are positive design constants.  $\Delta_n = \varepsilon_n^* + \bar{d}_n + \delta^*$  and  $\hat{\Delta}_n$  is the estimation of  $\Delta_n$ ,  $\tilde{\Delta}_n = \Delta_n - \hat{\Delta}_n$  is the parameter error.

From (37) and (38), the time derivative of  $V_n$  is

$$\begin{aligned} {}^c_0 D_t^\alpha V_n \leq & {}^c_0 D_t^\alpha V_{n-1} + S_n (v + (\frac{v + \hat{b}_{r,m}}{\hat{m}_r} \tilde{m}_r - \tilde{b}_{r,m})\omega + \theta_n^T \varphi_n(\bar{x}_n)) \\ & + (\frac{v + \hat{b}_{l,m}}{\hat{m}_l} \tilde{m}_l - \tilde{b}_{l,m})(1-\omega) + \tilde{\theta}_n^T \varphi_n(\bar{x}_n) + \frac{\rho_{n-1}}{\tau_{n-1}} \\ & + \frac{\hat{M}_{n-1}^2 \rho_{n-1}}{\sqrt{\hat{M}_{n-1}^2 \rho_{n-1}^2 + \varpi^2}} + S_{n-1} + |S_n| \Delta_n + \rho_{n-1} (-\frac{\rho_{n-1}}{\tau_{n-1}} \\ & - \frac{\hat{M}_{n-1}^2 \rho_{n-1}}{\sqrt{\hat{M}_{n-1}^2 \rho_{n-1}^2 + \varpi^2}} - S_{n-1}) - \frac{1}{\gamma_n} \tilde{\theta}_n^T {}^c_0 D_t^\alpha \theta_n + |\rho_{n-1}| M_{n-1} \\ & - \frac{1}{\bar{\gamma}_n} \tilde{\Delta}_n {}^c_0 D_t^\alpha \hat{\Delta}_n - \frac{1}{\bar{\gamma}_{n-1}} \tilde{M}_{n-1} {}^c_0 D_t^\alpha \hat{M}_{n-1} - \frac{1}{\chi_1} \tilde{m}_r {}^c_0 D_t^\alpha \hat{m}_r \\ & - \frac{1}{\chi_2} \tilde{m}_l {}^c_0 D_t^\alpha \hat{m}_l - \frac{1}{\chi_3} \tilde{b}_{r,m} {}^c_0 D_t^\alpha \hat{b}_{r,m} - \frac{1}{\chi_4} \tilde{b}_{l,m} {}^c_0 D_t^\alpha \hat{b}_{l,m} \end{aligned} \quad (39)$$

Through Lemma 4, we can get

$$\left\{ \begin{array}{l} |S_n| \Delta_n \leq \Delta_n \varpi + \Delta_n S_n^2 / \sqrt{S_n^2 + \varpi^2} \\ |\rho_{n-1}| M_{n-1} \leq \frac{\hat{M}_{n-1}^2 \rho_{n-1}^2}{\sqrt{\hat{M}_{n-1}^2 \rho_{n-1}^2 + \varpi^2}} + \varpi + |\rho_{n-1}| \tilde{M}_{n-1} \end{array} \right. \quad (40)$$

Choose the adaptation laws and design actual control law  $v$  as follow

$$\left\{ \begin{array}{l} {}^c_0 D_t^\alpha \theta_n = S_n \gamma_n \varphi_n(\bar{x}_n) - \sigma_n \theta_n \\ {}^c_0 D_t^\alpha \hat{\Delta}_n = \bar{\gamma}_n S_n^2 / \sqrt{S_n^2 + \varpi^2} - \bar{\sigma}_n \hat{\Delta}_n \\ {}^c_0 D_t^\alpha \hat{M}_{n-1} = -\bar{\sigma}_{n-1} \hat{M}_{n-1} + \bar{\gamma}_{n-1} |\rho_{n-1}| \\ {}^c_0 D_t^\alpha \hat{m}_r = \chi_1 \omega S_n (v + \hat{b}_{r,m}) / \hat{m}_r - \zeta_1 \hat{m}_r \\ {}^c_0 D_t^\alpha \hat{m}_l = S_n \chi_2 (1-\omega) (v + \hat{b}_{l,m}) / \hat{m}_l - \zeta_2 \hat{m}_l \\ {}^c_0 D_t^\alpha \hat{b}_{r,m} = -S_n \chi_3 \omega - \zeta_3 \hat{b}_{r,m} \\ {}^c_0 D_t^\alpha \hat{b}_{l,m} = -S_n \chi_4 (1-\omega) - \zeta_4 \hat{b}_{l,m} \end{array} \right. \quad (41)$$

$$\begin{aligned} v = & -c_n S_n - 2S_{n-1} - \theta_n^T \varphi_n(\bar{x}_n) - \hat{\Delta}_n S_n / \sqrt{S_n^2 + \varpi^2} \\ & - \rho_{n-1} / \tau_{n-1} - \hat{M}_{n-1}^2 \rho_{n-1} / \sqrt{\hat{M}_{n-1}^2 \rho_{n-1}^2 + \varpi^2} \end{aligned} \quad (42)$$

where  $\sigma_n, \zeta_4, \bar{\sigma}_n, \zeta_3, \bar{\sigma}_{n-1}, \zeta_2$  and  $\zeta_1$  are positive design constants.  $\hat{\Delta}_n S_n / \sqrt{S_n^2 + \varpi^2}$  is a compensation term used to compensate for the bound of  $\varepsilon_n, d_n$  and  $\delta$ .

Substituting (40)-(42) into (39), we can gain

$$\begin{aligned} {}^c_0 D_t^\alpha V_n \leq & -\sum_{j=1}^n c_j S_j^2 + \sum_{j=1}^n \frac{\sigma_j}{\gamma_j} \tilde{\theta}_j^T \theta_j + \sum_{j=1}^n \frac{\bar{\sigma}_j}{\bar{\gamma}_j} \tilde{\Delta}_j \hat{\Delta}_j - \sum_{j=1}^{n-1} \frac{\rho_j^2}{\tau_j} \\ & + \sum_{j=1}^{n-1} \frac{\bar{\sigma}_j}{\bar{\gamma}_j} \tilde{M}_j \hat{M}_j + \sum_{j=1}^n \Delta_j \varpi + (n-1)\varpi \\ & + \frac{\zeta_1}{\chi_1} \tilde{m}_r \hat{m}_r + \frac{\zeta_2}{\chi_2} \tilde{m}_l \hat{m}_l + \frac{\zeta_3}{\chi_3} \tilde{b}_{r,m} \hat{b}_{r,m} + \frac{\zeta_4}{\chi_4} \tilde{b}_{l,m} \hat{b}_{l,m} \end{aligned} \quad (43)$$

By utilizing Young's inequality, we get

$$\left\{ \begin{array}{l} \tilde{\theta}_j^T \theta_j \leq \|\theta_j^*\|^2 / 2 - \tilde{\theta}_j^T \theta_j / 2 \\ \tilde{\Delta}_j \hat{\Delta}_j \leq -\tilde{\Delta}_j^2 / 2 + \Delta_j^2 / 2 \\ \tilde{M}_j \hat{M}_j \leq -\tilde{M}_j^2 / 2 + M_j^2 / 2 \\ \tilde{m}_r \hat{m}_r \leq -\tilde{m}_r^2 / 2 + m_r^2 / 2 \\ \tilde{m}_l \hat{m}_l \leq -\tilde{m}_l^2 / 2 + m_l^2 / 2 \\ \tilde{b}_{r,m} \hat{b}_{r,m} \leq -\tilde{b}_{r,m}^2 / 2 + b_{r,m}^2 / 2 \\ \tilde{b}_{l,m} \hat{b}_{l,m} \leq -\tilde{b}_{l,m}^2 / 2 + b_{l,m}^2 / 2 \end{array} \right. \quad (44)$$

Taking (44) into (43), we have

$$\begin{aligned} {}^c_0 D_t^\alpha V_n \leq & -\sum_{j=1}^n c_j S_j^2 - \sum_{j=1}^n \frac{\sigma_j}{2\gamma_j} \tilde{\theta}_j^T \theta_j - \sum_{j=1}^n \frac{\bar{\sigma}_j}{2\bar{\gamma}_j} \tilde{\Delta}_j^2 \\ & - \sum_{j=1}^{n-1} \frac{\rho_j^2}{\tau_j} - \sum_{j=1}^{n-1} \frac{\bar{\sigma}_j}{2\bar{\gamma}_j} \tilde{M}_j^2 - \frac{\zeta_1}{2\chi_1} \tilde{m}_r^2 \\ & - \frac{\zeta_2}{2\chi_2} \tilde{m}_l^2 - \frac{\zeta_3}{2\chi_3} \tilde{b}_{r,m}^2 - \frac{\zeta_4}{2\chi_4} \tilde{b}_{l,m}^2 + \eta \\ & \leq -cV_n + \eta \end{aligned} \quad (45)$$

where  $c = \min\{2c_j, 2/\tau_j, \zeta_1, \zeta_2, \zeta_3, \zeta_4, \sigma_j, \bar{\sigma}_j, \bar{\sigma}_j\}, j=1..n$ ,

$$\begin{aligned} \eta = & \sum_{j=1}^n \Delta_j \varpi + (n-1)\varpi + \sum_{j=1}^n \bar{\sigma}_j \Delta_j^2 / 2\bar{\gamma}_j + \zeta_1 m_r^2 / 2\chi_1 \\ & + \sum_{j=1}^{n-1} \bar{\sigma}_j M_j^2 / 2\bar{\gamma}_j + \sum_{j=1}^n \sigma_j \|\theta_j^*\|^2 / 2\gamma_j + \zeta_2 m_l^2 / 2\chi_2 \\ & + \zeta_3 b_{r,m}^2 / 2\chi_3 + \zeta_4 b_{l,m}^2 / 2\chi_4. \end{aligned}$$

## B. Stability Analysis

Theorem 1: For fractional-order strict-feedback nonlinear system (1), the actual controller (42), the intermediate control function (23) and (32) and the parameter adaptation laws (24), (33) and (41), guarantee that all signals in the closed-loop system are bounded, and the system output can track the desired signal.

There exists a positive time-varying parameter  $\Phi(t)$ , combining with (45), we can get

$${}^c_0 D_t^\alpha V_n + \Phi(t) = -cV_n + \eta \quad (46)$$

Taking Laplace transform on (46) yields

$$V_n(s) = \frac{s^{\alpha-1} V_n(0)}{s^\alpha + c} + \frac{s^{\alpha-(\alpha+1)} \eta}{(s^\alpha + c)} - \frac{\Phi(s)}{s^\alpha + c} \quad (47)$$

Using the inverse Laplace transform on (47), we can obtain

$$V_n(t) = E_{\alpha,1}(-ct^\alpha)V_n(0) + t^\alpha E_{\alpha,\alpha+1}(-ct^\alpha)\eta - \Phi(t) * t^{\alpha-1} E_{\alpha,\alpha}(-ct^\alpha) \quad (48)$$

where  $*$  is the convolution operator. We consider the last term of (48), we know  $\Phi(t)$  and  $t^{\alpha-1} E_{\alpha,\alpha}(-ct^\alpha)$  are not negative functions, so  $\Phi(t) * t^{\alpha-1} E_{\alpha,\alpha}(-ct^\alpha) \geq 0$ . Then we can get

$$V_n(t) \leq E_{\alpha,1}(-ct^\alpha)V_n(0) + t^\alpha E_{\alpha,\alpha+1}(-ct^\alpha)\eta \quad (49)$$

It worth noting that  $\arg(-ct^\alpha) = -\pi$ ,  $|-ct^\alpha| \geq 0$  for all  $t \geq 0$  and  $\alpha \in (0, 2)$ . From Lemma 2, there must be a positive constant  $\lambda$  such that

$$|E_{\alpha,1}(-ct^\alpha)| \leq \lambda/1 + ct^\alpha \quad (50)$$

with  $t \rightarrow \infty$ , we can get

$$\lim_{t \rightarrow \infty} E_{\alpha,1}(-ct^\alpha)V_n(0) = 0 \quad (51)$$

Thus, there must exist a time constant  $t_1 > 0$ , for arbitrary  $t > t_1$  and every  $v_1 > 0$ , we can obtain

$$E_{\alpha,1}(-ct^\alpha)V_n(0) \leq v_1 \quad (52)$$

Moreover, we use Lemma 1 and let  $m = 1$ , we gain

$$E_{\alpha,\alpha+1}(-ct^\alpha) = 1/(\Gamma(1)ct^\alpha) + o(1/|ct^\alpha|^2) \quad (53)$$

According to  $\Gamma(1) = 1$ , we can regain (53)

$$t^\alpha E_{\alpha,\alpha+1}(-ct^\alpha)\eta \leq \eta/c + t^\alpha \eta \circ (1/|ct^\alpha|^2) \quad (54)$$

There exists a time constant  $t_2 > 0$ , for arbitrary  $t > t_2$  and every  $v_2 > 0$  yields

$$t^\alpha \eta \circ (1/|ct^\alpha|^2) \leq v_2 \quad (55)$$

Besides, for every  $t_3 > 0$ , we appropriately adjust the design parameters to get  $\eta/c \leq v_3$ . Therefore, we have

$$t^\alpha E_{\alpha,\alpha+1}(-ct^\alpha)\eta \leq v_2 + v_3 \quad (56)$$

Invoking (52) and (56), we get

$$V_n \leq v_1 + v_2 + v_3 \quad (57)$$

From the above analysis, once the inequalities (45) and (57) are held, combined with definition of  $V_n(t)$  and Lemma 3, we can come to a conclusion that all signals of the fractional-order closed-loop system (1) keep bounded and the tracking error  $|S_1| \leq \sqrt{2(v_1 + v_2 + v_3)}$  can converge to a small neighborhood of the origin, for  $t > \max\{t_1, t_2\}$ . This completes the proof.

#### IV. CONCLUSIONS

In this article, an adaptive fuzzy fractional-order DSC method has designed for FONSS in strict-feedback form with unknown dead zone and external disturbances. The FONSSs under consideration contains asymmetric dead zone and external disturbances. FLSs are used to model unknown nonlinear functions. Utilizing DSC to simplify the calculation. The dead zone inverse and compensation terms are added to compensate for the influence of the dead zone, approximation errors and external disturbances. Finally, the designed scheme can ensure the fractional-order system is stable and has good tracking performance.

#### REFERENCES

- [1] A. Ahmadian, F. Ismail, S. Salahshour, D. Baleanu, and F. Ghaemi, "Uncertain viscoelastic models with fractional order: A new spectral tau method to study the numerical simulations of the solution," *Communications in Nonlinear Science and Numerical Simulation*, vol. 53, pp. 44-64, December 2017.
- [2] Y. M. Li, and S. C. Tong, "Adaptive neural networks prescribed performance control design for switched interconnected uncertain nonlinear systems," *IEEE Transactions on Neural Networks and Learning Systems*, vol. 29, no.7, pp. 3059-3068, July 2018.
- [3] H. Liu, Y. P. Pan, S. G. Li, and Y. Chen, "Adaptive fuzzy backstepping control of fractional-order nonlinear systems," *IEEE Transactions on Systems, Man, and Cybernetics: Systems*, vol. 47, no. 8, pp. 2209-2217, August 2017.
- [4] Y. H. Liu, "Adaptive dynamic surface asymptotic tracking for a class of uncertain nonlinear systems," *International Journal of Robust and Nonlinear Control*, vol. 28, no. 4, pp. 1233-1245, March 2018.
- [5] X. S. Wang, C. Y. Su, and Henry Hong, "Robust adaptive control of a class of nonlinear systems with unknown dead-zone," *Automatica*, vol. 40, no. 3, pp. 407-413, March 2004.
- [6] I. Podlubny, *Fractional Differential Equations*. New York, NY, USA: Academic, 1999.
- [7] Y. Li, Y. Q. Chen, and Igor Podlubny, "Mittag-Leffler stability of fractional order nonlinear dynamic systems," *Automatica*, vol. 45, no. 8, pp. 1965-1969, August 2009.
- [8] Z. Y. Zuo, and C. L. Wang, "Adaptive trajectory tracking control of output constrained multi-rotors systems," *IET Control Theory and Applications*, vol. 8, no. 13, pp. 1163-1174, September 2014.
- [9] Norelys Aguila-Camacho, Manuel A. Duarte-Mermoud, and Javier A. Gallegos, "Lyapunov functions for fractional order systems," *Communications in Nonlinear Science and Numerical Simulation*, vol. 19, no. 9, pp. 2951-2957, September 2014.
- [10] L. X. Wang, "Stable adaptive fuzzy control of nonlinear systems," *IEEE Transactions on Fuzzy Systems*, vol. 1, no. 2, pp. 146-155, May 1993.
- [11] S. Sui, C. L. Philip Chen, and S. C. Tong, "Neural-networks-based adaptive DSC design for switched fractional-order nonlinear systems," *IEEE Transactions on Neural Networks and Learning Systems*, 2019.